



City and County of Swansea

Notice of Meeting

You are invited to attend a Meeting of the

External Funding Panel

At: Committee Room 5, Guildhall, Swansea

On: Wednesday, 6 December 2017

Time: 2.00 pm

Chair: Councillor Rob Stewart

Membership:

Councillors: J E Burtonshaw, M C Child, W Evans, R Francis-Davies, D H Hopkins, A S Lewis, C E Lloyd, J A Raynor and M Thomas

Agenda

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| 1 | Apologies for Absence. | |
| 2 | Disclosures of Personal and Prejudicial Interests.
www.swansea.gov.uk/disclosuresofinterests | |
| 3 | Minutes:
To approve & sign the Minutes of the previous meeting(s) as a correct record. | 1 - 3 |
| 4 | Innovative Housing Programme - To Support the Delivery of 2000 New Affordable Homes in Wales. | 4 - 9 |
| 5 | Swansea Compact Funding 2018/19. | 10 - 20 |
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Next Meeting: Wednesday, 10 January 2018 at 2.00 pm

A handwritten signature in black ink that reads 'Huw Evans'.

Huw Evans
Head of Democratic Services
Thursday, 30 November 2017

Contact: Democratic Services - 636923



City and County of Swansea

Minutes of the **External Funding Panel**

Committee Room 5, Guildhall, Swansea

Wednesday, 4 October 2017 at 2.00 pm

Present: Councillor R C Stewart (Chair) Presided

Councillor(s)

W Evans
M Thomas

Councillor(s)

R Francis-Davies

Councillor(s)

M Sherwood

Officer(s)

Rachel Evans
Chris Francis
Allison Lowe
Spencer Martin
Paul Relf
Sandie Richards
Elliott Williams
Gaynor Winsor

Principal Officer - Supporting People, Adult Services
Adult Social Services Contracting Officer
Democratic Services Officer
Voluntary Sector Relationship Coordinator
External Funding Manager
Principal Lawyer
External Funding Manager
Accountant

Apologies for Absence

Councillor(s): M C Child, D H Hopkins and C E Lloyd

15 Disclosures of Personal and Prejudicial Interests.

In accordance with the Code of Conduct adopted by the City and County of Swansea, no interests were declared.

16 Minutes.

Resolved that the Minutes of the External Funding Panel held on 6 September 2017 be signed and approved as a correct record.

17 Grant For Expanding Edge of Care Services 2017/18.

The Panel Members considered a report to advise on the award of the Grant for Expanding Edge of Care Services 2017/18.

The grant had already been awarded direct by Welsh Government and had not required an application from the Council. The funding, which had been made available as a result of consequential funding from the UK Government's March budget, was intended to support local authorities to meet increasing demand.

Resolved that the Panel noted the implications contained in the report and retrospectively approved the acceptance of the Grant.

18 Grants to Provide Additional Support to Young People Leaving Care.

The Panel considered a report to advise on the award of the following grants to Support Care Leavers:

- Grant for Supporting Care Leavers to Successful Futures;
- St. David's Day Grant.

These grants had been awarded directly by Welsh Government and did not require an application from the Council. The funding, which had been made available as a result of consequential funding from the UK Government's March budget, is intended to support local authorities to meet increasing demand.

Resolved that the Panel noted the implications contained in the report and retrospectively approved the acceptance of the Grants.

19 Grant to Support the National Approach to Statutory Advocacy.

The Panel considered a report regarding the award of the Grant for the Western Bay Advocacy Service 2017-18.

The grant had already been awarded direct by Welsh Government and had not required an application from the Council.

The purpose of the funding is to support the implementation of the National Approach to Statutory Advocacy.

Resolved that the Panel noted the implications contained in the report and retrospectively approved the acceptance of the Grant.

20 Carers Respite Care Grant.

The Panel received a report on the award of the Carer's Respite Care Grant 2017-18.

The grant had already been awarded direct by Welsh Government and had not required an application from the Council.

The purpose of the funding was to enable Local Authorities to deliver additional respite care for Carers (including Young Carers) in their Local Authority including:

- Day Care – a service provided outside the home without any elements of overnight stay for the Carer or the recipient of care
- In home respite – a (paid) care worker coming into the family home to 'sit' with the care recipient
- Host family respite – the Carer and the care recipient take a break together, staying with a host family

- Institutional / overnight respite - allows breaks away from the family home for the care recipient for one or more nights

Resolved that the Panel noted the implications contained in the report and retrospectively approved the acceptance of the Grant.

21 Exclusion of the Public.

The Panel was requested to exclude the public from the meeting during the consideration of the item of business identified in the recommendations to the report on the grounds that it involved the likely disclosure of exempt information as set out in the exclusion paragraph of 12A of the Local Government Act 1972, as amended by the Local Government (Access to Information) (Variation) (Wales) Order 2007, relevant to the item of business as set out in the report.

The Panel considered the Public Interest Test in deciding to exclude the public from the meeting for the item of business where the Public Interest Test was relevant, as set out in the report.

It was **Resolved** that the public be excluded for the following item of business.

(Closed Session)

22 Overview of Current and Forthcoming European and External Funding Applications.

The Panel considered a report which provided an update on current and planned European and other External funding applications.

The report was an update on previous European and External funding reports to the External Funding Panel, aiming to provide:

- An update on European and External funding applications in progress or nearing approval;
- Early notification of proposed forthcoming funding applications and programmes.

Resolved that the recommendations as outlined in the report be noted and approved.

The meeting ended at 2.03 pm

Chair

Agenda Item 4



Report of the Director of Place

External Funding Panel – 6 December 2017

Innovative Housing Programme - To Support the Delivery of 2000 New Affordable Homes in Wales

Purpose:	To provide details of the Bid for Welsh Government Innovative Housing Programme --To support the delivery of 20,000 new affordable homes in Wales
Policy Framework:	More Homes Strategy Local Housing Strategy Policy Commitments – Tackling Poverty
Consultation:	Access to Services, Finance, Legal.
Recommendation(s):	It is recommended that: 1) The Phase 1 bid is approved retrospectively by the External Funding Panel. 2) Approval is given to submit a second funding bid for Phase 2 by the Welsh Government deadline
Report Author:	Carol Morgan
Finance Officer:	Jayne James
Legal Officer:	Debbie Smith
Access to Services Officer:	Catherine Window

1. Introduction

- 1.1 The purpose of this paper is to provide the External Funding Panel with the background regarding a Welsh Government Innovative Housing Programme (IHP). The IHP will run in 2 phases over 17/18 and 18/19. Due to a very short timeline for bid submission imposed on the council by Welsh Government, the Phase 1 bid has already been submitted, after advice being sought and permissions obtained from Director of Place, Head of Housing and Public Protection and Cabinet Member of Housing, Building Services and Energy to submit the bid.

2. Background

- 2.1 WG announced the launch of the Innovative Housing Programme (IHP) in February 2017. The programme which will initially be funded by £20m over the next two years, will contribute to the 20,000 affordable homes target the Welsh Government aims to provide over the term of government. The innovative homes, which will help to significantly reduce or eliminate fuel bills, will inform the Welsh Government about the type of homes it should support in the future
- 2.2 The application process and timescales were announced in August 2017, with a closing date for submission of bids for year 1 on 15 September. It is likely that the bidding process for Phase 2 will commence before the end of 2017/18.

3. Bids

- 3.1. A bid for the first Council direct build passivhaus scheme at Colliers Way was submitted by the deadline of 15 September 2017.
- 3.2 Welsh Government has indicated that the application process for Phase 2 will commence before the end of 2017-18. A bid for the second Council new build scheme at Parc Y Helyg will be submitted in accordance with the WG deadline.

4. Equality and Engagement Implications

- 4.1 An Equality Impact Assessment (EIA) screening tool confirms that a full EIA is not required.

5. Financial Implications

- 5.1 The cost of the scheme will be Phase 1 £2.762M and the estimated scheme cost for Phase 2 is circa £2.80M. The bid is for up to 58% of the scheme costs, plus 100% of innovation costs attributed to the innovative elements within the design. See table 1.

Table 1

	2016/17 £	2017/18 £	Year 3 £	Year 4 £	Year 5 £	Total £	Ongoing £
Total project cost:							
Capital – Colliers Way Parc Yr Helyg	1,190,000 107,000	1,572,324 2,693,000				2,762,324 2,800,000	
Revenue							
Grant applied for:							
Capital		3,226,148				3,226,148	
Revenue							
Internal Match Funding	1,297,000	1,039,176				2,336,176	

5.2 The HRA will fund the full scheme costs if the bids are unsuccessful

6. Legal Implications

6.1 The Housing (Wales) Act 2014 sets out the Welsh Government's vision for housing in Wales and provides the framework to assist local housing authorities in Wales to provide affordable new homes.

6.2 The Council will need to ensure that it complies with any terms and conditions attached to this Welsh Government grant funding.

Background Papers:

NGA1 Form, EIA form, Innovative Housing Bid Form

Appendices:

Appendix A - EFP1 document



The City & County of Swansea

EFP1 PRE-SUBMISSION - Notification of Funding Bid to External Funding Panel

Ref:

THE EFP1 APPLIES TO HARD COPY AND ELECTRONIC SUBMISSIONS

1. Scheme background and details - this is to inform the External Funding Panel of the intention to apply for a new grant proposal –

a. Awarding Body	Welsh Government
b. Name and purpose of Scheme/Programme (attach proposal brief in cabinet style report)	Welsh Government Innovative Housing Programme --To support the delivery of 20,000 new affordable homes in Wales. The Council is developing 2 pilot schemes to test the viability and capability of the Council funding and directly building energy efficient affordable housing through the HRA. 2 applications to be submitted in 2 phases. Phase 1 (Sept 17) Phase 2 (before end of 17/18)
c. Grant value in Total £	Phase 1 Circa £1.6M (Colliers Way) Phase 2 Circa £1.6M (Parc Y Helyg) (WG will pay up to 58% of total scheme costs, Final costs and amount of grant to be determined at award stage if successful)
d. Grant period / timescale for delivery	Phase 1 - grant awarded by 31 st March 2018 for schemes that must be onsite by April 2018. Phase 2 – application process commences in Autumn 2017.
e. CCS acting as Lead Body or Joint Sponsor? Name other Partners.	CCS Lead Body
f. Detail links to existing schemes at Local, Regional or National level	To support the Welsh Government target of the delivery of 20,000 new affordable homes in Wales.
g. Detail direct links to Council Policy, including, where appropriate, target areas	More Homes Strategy Local Housing Strategy Policy Commitments – Tackling Poverty
h. List key target and proposed performance measures	2 schemes of 18 and 16 new affordable energy efficient social rented homes in areas of housing need.
i. For EUROPEAN schemes confirm that the scheme has been developed in conjunction with the European Unit – Yes /No	<i>(attach email confirmation from the European Unit)</i>

(delete)	
j. Does this grant require a continuation of funding by the Council after the grant period has expired? <i>This includes current or additional staff costs.</i> Yes / No	No
k. Does the application require match-funding? Yes/No if it does where is this coming from?	Yes the scheme will be match funded by the HRA if the grant claim is successful. If not successful the whole scheme will be funded by the HRA.
l. Will the project entail the employment of additional staff and on what basis?	No
M. Have you completed an EIA (Equality Impact Assessment) Screening Form (please attach)?	Yes
N. Is a full EIA report required?	No

2. Please complete the following financial information:

	2016/17 £	2017/18 £	Year 3 £	Year 4 £	Year 5 £	Total £	Ongoing £
Total project cost:							
Capital – Colliers Way Parc Yr Helyg	1,190,000 107,000	1,572,324 2,693,000				2,762,324 2,800,000	
Revenue							
Grant applied for:							
Capital		3,226,148				3,226,148	
Revenue							
Internal Match Funding	1,297,000	1,039,176				2,336,176	

3. Please complete the following:

a. Does the funding meet the Council's priorities?	Yes see EFP report (Evidence to be attached)
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b. What are the expected outcomes and are they clear and achievable? (link to 1h)	Yes see bid document
c. Is there an exit plan? (link to 1j/l)	N/A
d. How is Value for Money being obtained? (procurement/ third party arrangements etc.)	Procurement exercise carried out for individual elements of scheme and CB&PS are main contractor. WG assess VFM as part of grant application.
e. What is the governance / management structure for the scheme? – What board/management team will it be reported to?	The project has been overseen by the More Homes Officer/Member Steering Group with membership from The Cabinet Member and Director of Place.
f. What are the major risks and how will they be managed?	The project has been directly funded by the HRA, however the opportunity to apply for additional grant to subsidise the scheme has arisen so there are no risks to funding the project.

4. Authorisation

	Name / Signature	Date
Responsible Officer:	Carol Morgan	20.9.17
(Principal)/Accountant:	Jayne James	29.9.17
External Funding Panel		
Endorsed /Not Endorsed		

Agenda Item 5



Report of the Director of People

External Funding Panel - 6 December 2017

Swansea Compact Funding 2018/19

Purpose:	To decide upon applications to a one-off round of funding of the Swansea Compact Fund 2018/19
Policy Framework:	Medium Term Financial Plan; Sustainable Swansea – fit for the future
Consultation:	Finance, Legal, Access to Services.
Recommendation(s):	It is recommended that: 1) Contract agreements are rolled over for 6 months of 2018/19 to the three existing organisations previously in receipt of a three year SLA 2) Members consider which of the listed applications be supported for 2018/19
Report Author:	Spencer Martin
Finance Officer:	Chris Davies
Legal Officer:	Sandie Richards
Access to Services Officer:	Sherill Hopkins

1. Introduction

1.1 Background, Swansea Compact Fund

The Swansea Compact Fund is an annual open funding round to enable the transition from the Swansea Change Fund to a commissioned funding programme, with a small open round open all Third Sector groups.

During 2018, we will work with the sector and citizens to co-produce contract specifications based on need. These will be advertised on Sell 2 Wales and go through the procurement process. Contracts will be awarded to the successful Third Sector applicants measured against specific service delivery arrangements and outcomes.

2. Proposals for 2018/19

2.1 Current Procured Services

It is proposed that the three services previously procured through the Change Fund by means of a contract, be rolled over for 6 months to allow for continuity whilst the commissioning process is progressed.

The three current contracted service will be reviewed as part of the commissioning process and a further commissioned service developed based on an umbrella organisation bring together all third sector groups working with those who are recognised of having one of the nine protected characteristics defined in the Equality Act 2010.

The three current procured services are:

- Swansea Council for Voluntary Services (as the umbrella organisation for the third sector in Swansea)
- Swansea Citizens Advice Bureau (as an essential partner for independent advice and information, including Welfare Reform) The SLA is the only funding the group receives from the City & County of Swansea
- Swansea Environmental Forum (as the group was formed at the behest of the PSB to act as an umbrella group for all environmental third sector groups)

2.2 Open Funding Round

The remaining funding has been launched as an open funding round called the Compact Fund. During a consultation on the commissioning proposals the Third sector requested that decisions be made on this funding round prior to the new year. The rationale for this was that there may be changes to those in receipt of current funding in 17/18 and those groups who need to make staff changes resulting from the outcomes of funding decisions would need to offer statutory notice required prior to the commencement/or withdrawal of funding on April 1st 2018.

All applicants have to link their applications/projects or core services directly to the Swansea Councils Corporate Plan, outlining how they contribute to one of the five priorities.

Plus all applicants must:

- Be self-governing, not profit distributing, be for community/public benefit and have an appropriate signed constitution/governing document/rules.
- Have a bank account with at least two signatories in the name of the organisation applying for the grant.
- Have in place (or can show that they are actively working towards) an effective equality policy.
- Have in place (or can show that they are actively working towards) an effective green or environmental policy.
- Demonstrate sound financial management in particular for authorising and recording expenditure.
- Provide a set of their most recent financial accounts, signed as being audited or independently inspected (according to the level of the income and expenditure) or, in the case of recently formed organisations, a forecast of income and expenditure for the next year.
- Show evidence of local community support.

3. Applications

3.1 23 applications for funding in 18/19 have been received by the closing date on 18th November 2017, the total amount of funding requested equals £791,288, however there is only £146,000 available for allocation.

3.2 Applications are summarised in **Appendix A**.

4. Recommendations

4.1 The three existing agreements are extended for the three organisations previously in receipt of a three year arrangement for 6 months as the commissioning process is co-produced.

4.2 The open funding round grants are awarded following discussion on the merits of each application.

5. Equality & Engagement Implications

5.1 Equality Impact Assessments will be undertaken as appropriate on any decisions made at the panel.

5.2 Panel discussions will be required to include consideration of the equality impacts of funding applications in relation to the protected characteristics contained within the Equality Act 2010.

6. Financial Implications

6.1 Swansea Compact funding is allocated in line with budget available.

7. Legal Implications

- 7.1 The External Funding Panel is a decision making panel as ratified by Cabinet at its meeting of 18th November 2014.
- 7.2 The existing agreements with the three organisations on longer term arrangements will need to be extended and varied to incorporate the changes in service requirements, through a contract waiver.

Background Papers:

None.

Appendices:

Appendix A. List of Applications – Swansea Compact Fund 2018/19

Swansea Compact Fund – 2018/19

Summary of Applications for open funding round

Total budget for distribution in open funding round £146,000 (this amount excludes the combined total of the continuation of the existing 3 arrangements for 6 months and new commissioning arrangements of 4 services for the further 6 months)

Applications Total £791,288

<i>Ref.</i>	<i>Organisation</i>	<i>Organisation Overview and Project summary</i>	<i>Last Year 17/18</i>	<i>Amount Requested 18/19</i>
01	EYST	<p>The group: Ethnic Youth Support Team provides advice information and support to BAME groups in Swansea.</p> <p>The project: The request is for staffing (1 full time post) and core costs to enable the continuation of for their 'Refugee and Asylum Advice Project'. The Project has been running since 2015 via funding from Henry Smith Foundation and has to date supported 1387 individuals in Swansea through 2055 advice sessions. The project offers advice on all issues faced by asylum seekers supported by a team of 20 volunteers. WG has recently funded a part time support worker to work alongside the full time post. The grant will cover the post from 1st July when current funding ends until 31st March 2019</p>	£23,443	£25,855
02	SBREC	<p>The group: Swansea bay Regional Equality Council provides support, advice and information to BAME clients as well as other protected</p>	£27,720	£30,000

Ref.	Organisation	Organisation Overview and Project summary	Last Year 17/18	Amount Requested 18/19
		<p>characteristic groups.</p> <p>The project: A contribution to the core funding of the organisation. The total core costs equate to £85k including salaries, utilities, rent and office costs</p>		
03	Swansea Bay Asylum Seeker Support Group	<p>The group: The group provide support, both formal and informal to the city's 900 asylum seekers. Since 2000 they have run 2 drop in sessions where asylum seekers and refugees meet and share experience over a hot meal.</p> <p>The project: Many of their clients require English language support but their arrival does not conform to formal courses. They are applying for an English Language project co-ordinator and core costs to support informal language teaching for those not ready or who have arrived at the wrong time to attend formal classes..</p>	£7,040	£9,000
04	African Community Centre	<p>The group: Work with BAME people offering support advice and information.</p> <p>The project: The group are aware that clients who have transitions to refugee status are highly skilled, enthusiastic and hard-working but unable to find work. The funding is for a part time coordinator, the cost of volunteer support workers and training courses to aid clients into work.</p>	£8,800	£19,630
05	City of Sanctuary	<p>The group: Are a volunteer led organisation operating since 2000. They co-ordinate and promote the vision for Swansea as a place of safety.</p>	-	£7,500

Ref.	Organisation	Organisation Overview and Project summary	Last Year 17/18	Amount Requested 18/19
		The project: The group are seeking core costs to continue to be the management committee of the CoS status once the groups lottery funding ends in 2018		
06	Swansea Women's Aid	The group: Provide advice information and support to those at risk of or experiencing domestic violence. The project: The application is for staff and core costs associated with the groups DAISE Routes project. (Domestic Abuse Information, support and Empowerment = DAISE) which will employ one full time staff member to undertake targeted telephone outreach to women identified as being at risk of domestic violence.	£20,240	£20,164
07	BAWSO	The group: The group work with victims of domestic violence in the BME community. The project: The project is for core costs and salary costs of the manager of the Youth Against Violence and Abuse project which aims to support its 10 members 11-25 experiencing issues around domestic violence, forced marriage, FGM and other issues.	£17,600	£24,700
08	BikeAbility Wales	The group: The Group provide cycling opportunities for people with disabilities. The project: The application is for 2 posts - a part time project manager and assistant manager - to manage the project, run the regular cycling sessions and co-ordinate the groups publicity, fun days and outreach activities.	£13,200	£20,000
09	Local Aid	The group: The group work with young people with learning disabilities	£4,840	£7,310

Ref.	Organisation	Organisation Overview and Project summary	Last Year 17/18	Amount Requested 18/19
		The project: The project is to provide part time project management (10 hours per week) to the groups existing Buddies Project and new work experience project		
10	Forest School	The group: The group provide outdoor play opportunities utilising the natural environment based at Bishops Wood Countryside Centre. The project: Flying Start Project - The grant is to enable the group to link with flying start to provide 30 sessions offered to participants in 5 courses of 6 week duration.	-	£9,805
11	Coeden Fach Community Interest Company	The group: The group offer outdoor volunteer training sessions for people with learning difficulties, special educational needs and or behavioural issues. The project: The Project is to fund costs associated with 50 volunteer training sessions developing transferable skills and environmental awareness for clients.	-	£5,000
12	Swansea Community Farm	The group: Manage a community Farm in the Cadle area of Swansea The project: The application is for a contribution to the core funding and staffing costs of the farm. The annual Costs of which are approximately £154,000	£20,000	£20,000
13	Pontarddulais Partnership	The group: The group promote and encourage community development in Pontarddulais The project: The application is a contribution to the core costs to the group - including the development managers post – which are £46,570	£13,200	£14,070
14	Ty Croeso –	The group: The Group run a drop in centre in Clydach, including a	-	£3,000

Ref.	Organisation	Organisation Overview and Project summary	Last Year 17/18	Amount Requested 18/19
	Clydach	<p>foodbank, discussion groups and prayer groups.</p> <p>The project: They are applying for a funding contribution towards their core costs – rent, utilities, etc. (which do not include salaries) which total £6k.</p>		
15	Cyfle I Dyfu (Chance to Grow)	<p>The group: Is a social enterprise based in Swansea which aims to enable people with a history of long terms substance abuse, learning difficulties or other social disadvantage fulfil their potential. They make and sell a wide range of carpentry goods.</p> <p>The project: The funding will be used to employ a full time manager to allow more staff time to be devoted to working with client employees.</p>	-	£26,000
16	COLVERTV.COM CIC	<p>The group: The Group aim to improve skills for disadvantaged youth by providing IT training photos, video editing and other media.</p> <p>The project: The Grant is for training equipment (5 desktop computers and software, video camera and photo camera) and studio rent.</p>	-	£10,000
17	Swansea West CAP debt Centre (applicant Oasis Church Gowerton)	<p>The group: The Swansea West branch of Christians against poverty. An association of Churches utilising a debt advice model developed and based in Bradford. This group cover SA4/5/6/7 postcodes. The Swansea CAP cover the rest of the city. Councillors visit clients in their homes and offer a free debt counselling service.</p> <p>The project: The group are applying for a contribution to core costs for the year general office costs and mileage – staff time is given free.</p>	-	£4,000
18	Hillside Animal Sanctuary	<p>The group: The sanctuary, based in Norwich, Norfolk, have arranged the transport and lifelong care of 327 ex pound horses from Swansea.</p>	-	£20,000

Ref.	Organisation	Organisation Overview and Project summary	Last Year 17/18	Amount Requested 18/19
		The project: They are applying for a contribution to the Costs of Transportation and continuing care of these horses.		
19	Swansea Carers Centre	The group: Provides advice support and information to the all those providing a caring role in Swansea The project: The application is to fund a Parent Carer support worker.	-	£20,840
20	Menter Iaith Abertawe	The group: Promote and support the use of the Welsh Language in Swansea The project: The Application is to continue to support and develop Welsh medium services, including pre-school age, primary and secondary school support. In addition, the application proposes to employ a full time Welsh Language Promotion Officer to work collaboratively with the council to promote the language among council staff and the wider community, including the Commission standards, language classes and support.	£9,680	£33,500
21	Chinese in Wales Association	The group: A recently formed group to work with the Chinese community in Swansea The project: The group are applying for the core costs associated with the Fund Me Project – a project working with Chinese Ethnic children with Autism and learning disabilities and provide support and advice to the families of those Children. The costs include a part time Multilingual Autism specialist post and associated costs	-	£20,000
22	Circus Eruption	The group: The Group use Circus skills to empower, inspire and	-	£10,314

Ref.	Organisation	Organisation Overview and Project summary	Last Year 17/18	Amount Requested 18/19
		enable, their inclusive nature attracts a wide range of clientele The project: The Project is a contribution to staff costs for the groups co-ordinator and associated core costs. Total core costs of the group equate to £60,800		
23	British Training Board	The group: The BTB provides services to Armed forces personnel across the UK, including a skills translation service which equates armed forces qualification with professional qualifications accepted throughout industry. They also coach and mentor ex services personnel upon transition when leaving service and hope to roll out this mentoring project to NEETS in Swansea The project: The project is to assist 100 former service men, 200 NEETS in training and mentoring projects plus core and equipment costs associated with the project	-	£430,600
	Total			£791,288



Report of the Head of Legal, Democratic Services & Business Intelligence

External Funding Panel – 6 December 2017

Exclusion Of The Public

Purpose:	To consider whether the Public should be excluded from the following items of business.	
Policy Framework:	None.	
Consultation:	Legal.	
Recommendation(s):	It is recommended that:	
1)	The public be excluded from the meeting during consideration of the following item(s) of business on the grounds that it / they involve(s) the likely disclosure of exempt information as set out in the Paragraphs listed below of Schedule 12A of the Local Government Act 1972 as amended by the Local Government (Access to Information) (Variation) (Wales) Order 2007 subject to the Public Interest Test (where appropriate) being applied.	
	Item No.	Relevant Paragraphs in Schedule 12A
	7	14
Report Author:	Democratic Services	
Finance Officer:	Not Applicable	
Legal Officer:	Tracey Meredith – Head of Legal, Democratic Services & Business Intelligence(Monitoring Officer)	

1. Introduction

- 1.1 Section 100A (4) of the Local Government Act 1972 as amended by the Local Government (Access to Information) (Variation) (Wales) Order 2007, allows a Principal Council to pass a resolution excluding the public from a meeting during an item of business.
- 1.2 Such a resolution is dependant on whether it is likely, in view of the nature of the business to be transacted or the nature of the proceedings that if members of the public were present during that item there would be disclosure to them of exempt information, as defined in section 100I of the Local Government Act 1972.

2. Exclusion of the Public / Public Interest Test

- 2.1 In order to comply with the above mentioned legislation, Cabinet will be requested to exclude the public from the meeting during consideration of the item(s) of business identified in the recommendation(s) to the report on the grounds that it / they involve(s) the likely disclosure of exempt information as set out in the Exclusion Paragraphs of Schedule 12A of the Local Government Act 1972 as amended by the Local Government (Access to Information) (Variation) (Wales) Order 2007.
- 2.2 Information which falls within paragraphs 12 to 15, 17 and 18 of Schedule 12A of the Local Government Act 1972 as amended is exempt information if and so long as in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.
- 2.3 The specific Exclusion Paragraphs and the Public Interest Tests to be applied are listed in **Appendix A**.
- 2.4 Where paragraph 16 of the Schedule 12A applies there is no public interest test. Councillors are able to consider whether they wish to waive their legal privilege in the information, however, given that this may place the Council in a position of risk, it is not something that should be done as a matter of routine.

3. Financial Implications

- 3.1 There are no financial implications associated with this report.

4. Legal Implications

- 4.1 The legislative provisions are set out in the report.
- 4.2 Councillors must consider with regard to each item of business set out in paragraph 2 of this report the following matters:
 - 4.2.1 Whether in relation to that item of business the information is capable of being exempt information, because it falls into one of the paragraphs set out in Schedule 12A of the Local Government Act 1972 as amended and reproduced in Appendix A to this report.
 - 4.2.2 If the information does fall within one or more of paragraphs 12 to 15, 17 and 18 of Schedule 12A of the Local Government Act 1972 as amended, the public interest test as set out in paragraph 2.2 of this report.
 - 4.2.3 If the information falls within paragraph 16 of Schedule 12A of the Local Government Act 1972 in considering whether to exclude the public members are not required to apply the public interest test but must consider whether they wish to waive their privilege in relation to that item for any reason.

Background Papers: None.

Appendices: Appendix A – Public Interest Test.

Public Interest Test

No.	Relevant Paragraphs in Schedule 12A
12	Information relating to a particular individual.
	<p>The Proper Officer (Monitoring Officer) has determined in preparing this report that paragraph 12 should apply. Their view on the public interest test was that to make this information public would disclose personal data relating to an individual in contravention of the principles of the Data Protection Act. Because of this and since there did not appear to be an overwhelming public interest in requiring the disclosure of personal data they felt that the public interest in maintaining the exemption outweighs the public interest in disclosing the information. Members are asked to consider this factor when determining the public interest test, which they must decide when considering excluding the public from this part of the meeting.</p>
13	Information which is likely to reveal the identity of an individual.
	<p>The Proper Officer (Monitoring Officer) has determined in preparing this report that paragraph 13 should apply. Their view on the public interest test was that the individual involved was entitled to privacy and that there was no overriding public interest which required the disclosure of the individual's identity. On that basis they felt that the public interest in maintaining the exemption outweighs the public interest in disclosing the information. Members are asked to consider this factor when determining the public interest test, which they must decide when considering excluding the public from this part of the meeting.</p>
14	Information relating to the financial or business affairs of any particular person (including the authority holding that information).
	<p>The Proper Officer (Monitoring Officer) has determined in preparing this report that paragraph 14 should apply. Their view on the public interest test was that:</p> <p>a) Whilst they were mindful of the need to ensure the transparency and accountability of public authority for decisions taken by them in relation to the spending of public money, the right of a third party to the privacy of their financial / business affairs outweighed the need for that information to be made public; or</p> <p>b) Disclosure of the information would give an unfair advantage to tenderers for commercial contracts.</p> <p>This information is not affected by any other statutory provision which requires the information to be publicly registered.</p> <p>On that basis they felt that the public interest in maintaining the exemption outweighs the public interest in disclosing the information. Members are asked to consider this factor when determining the public interest test, which they must decide when considering excluding the public from this part of the meeting.</p>

No.	Relevant Paragraphs in Schedule 12A
15	<p>Information relating to any consultations or negotiations, or contemplated consultations or negotiations, in connection with any labour relations matter arising between the authority or a Minister of the Crown and employees of, or office holders under, the authority.</p>
	<p>The Proper Officer (Monitoring Officer) has determined in preparing this report that paragraph 15 should apply. Their view on the public interest test was that whilst they are mindful of the need to ensure that transparency and accountability of public authority for decisions taken by them they were satisfied that in this case disclosure of the information would prejudice the discussion in relation to labour relations to the disadvantage of the authority and inhabitants of its area. On that basis they felt that the public interest in maintaining the exemption outweighs the public interest in disclosing the information. Members are asked to consider this factor when determining the public interest test, which they must decide when considering excluding the public from this part of the meeting.</p>
16	<p>Information in respect of which a claim to legal professional privilege could be maintained in legal proceedings.</p>
	<p>No public interest test.</p>
17	<p>Information which reveals that the authority proposes: (a) To give under any enactment a notice under or by virtue of which requirements are imposed on a person; or (b) To make an order or direction under any enactment.</p>
	<p>The Proper Officer (Monitoring Officer) has determined in preparing this report that paragraph 17 should apply. Their view on the public interest test was that the authority's statutory powers could be rendered ineffective or less effective were there to be advanced knowledge of its intention/the proper exercise of the Council's statutory power could be prejudiced by the public discussion or speculation on the matter to the detriment of the authority and the inhabitants of its area. On that basis they felt that the public interest in maintaining the exemption outweighs the public interest in disclosing the information. Members are asked to consider this factor when determining the public interest test, which they must decide when considering excluding the public from this part of the meeting.</p>
18	<p>Information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of crime</p>
	<p>The Proper Officer (Monitoring Officer) has determined in preparing this report that paragraph 18 should apply. Their view on the public interest test was that the authority's statutory powers could be rendered ineffective or less effective were there to be advanced knowledge of its intention/the proper exercise of the Council's statutory power could be prejudiced by public discussion or speculation on the matter to the detriment of the authority and the inhabitants of its area. On that basis they felt that the public interest in maintaining the exemption outweighs the public interest in disclosing the information. Members are asked to consider this factor when determining the public interest test, which they must decide when considering excluding the public from this part of the meeting.</p>

Agenda Item 7

By virtue of paragraph(s) 14 of Schedule 12A
of the Local Government Act 1972
as amended by the Local Government (Access to
Information) (Variation) (Wales) Order 2007.

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